

5060 June

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Please return this card, indicating receipt of the **PATENT** Serial No., if applicable, of the following

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PROVISIONAL

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DESIGN

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UTILITY

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PCT

Application No.: TBA

Date: NOVEMBER 17, 2004

Client/Matter No.: 55320.000104

Client: LICA PHARMACEUTICALS A/S

Inventor(s): SIMON FELDBÆK NIELSEN ET AL.

Atty/Sec.: SA/abs

Title: AMINO-FUNCTIONAL CHALCONES

**10/514625**

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

☒

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 (IN DUPLICATE)

☒

PRELIMINARY AMENDMENT

☒

UNEXECUTED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

☒

COPY OF FORM PCT/IB/308

☒

COPY OF WO 03/097575 A2

☒

COPIES OF FORMS PCT/IPEA 416 AND PCT/IPEA 409

☒

Check No. 2062930 for \$920.00

**DT07 Rec'd PCT/PTO 17 NOV 2004**

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**DOCKETED**  
11-29-04

NOV 29 2004

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

55320.000104

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

To Be Assigned

INTERNATIONAL APPLICATION NO.

PCT/DK03/00331

INTERNATIONAL FILING DATE

19 May 2003

PRIORITY DATE CLAIMED

17 May 2002; 18 July 2002

TITLE OF INVENTION

AMINO-FUNCTIONAL CHALCONES

APPLICANT(S) FOR DO/EO/US

Simon Feildbæk NIELSEN et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A Preliminary Amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Copy of Form PCT/IB/308

Copy of WO 03/097575 A2

Copies of Forms PCT/IPEA 416 and PCT/IPEA 409

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U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.7)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
To Be Assigned		PCT/DK03/00331		55320.000104	

<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1080.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$920.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$770.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$730.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00</p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:10%;">RATE</th> <th style="width:10%;"></th> <th style="width:10%;"></th> </tr> <tr> <td>Total claims</td> <td>20 - 20 =</td> <td></td> <td>x \$ 18.00</td> <td>\$</td> <td></td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td></td> <td>x \$ 86.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$290.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$920.00</b></td> <td></td> </tr> </table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p> <p style="text-align: right;"><b>SUBTOTAL =</b></p> <p>Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> <p style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p> <p style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:70%;"></td> <td style="width:10%; text-align: right;"><b>Amount to be refunded:</b></td> <td style="width:20%; text-align: center;">\$</td> </tr> <tr> <td></td> <td style="text-align: right;"><b>charged:</b></td> <td style="text-align: center;">\$</td> </tr> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	20 - 20 =		x \$ 18.00	\$		Independent claims	2 - 3 =		x \$ 86.00	\$		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$		<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$920.00</b>			<b>Amount to be refunded:</b>	\$		<b>charged:</b>	\$	<p style="text-align: center;"><b>CALCULATIONS PTO USE ONLY</b></p>	
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a. ☒ A check in the amount of \$920.00 to cover the above fees is enclosed.

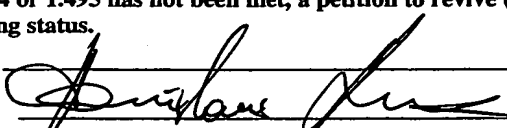
b. ☐ Please charge Deposit Account No. 50-0206 in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0206 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

<p><b>CUSTOMER NO. 21967</b></p> <p>Intellectual Property Department Hunton &amp; Williams LLP 1900 K Street, N.W.; Suite 1200 Washington, DC 20006-1109 (202) 955-1500 (telephone) (202) 778-2201 (facsimile)</p>	<p style="text-align: center;"></p> <p style="text-align: center;">SIGNATURE</p> <p style="text-align: center;">Stanislaus Aksman</p> <p style="text-align: center;">NAME</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">28,562</td> <td style="width:40%;">November 17, 2004</td> </tr> <tr> <td style="text-align: center;">REGISTRATION NUMBER</td> <td style="text-align: center;">DATE</td> </tr> </table>	28,562	November 17, 2004	REGISTRATION NUMBER	DATE
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